



**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number A3316-US-NP

## U.S. Patent Documents

## Foreign Patent Documents

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
TN		WO 02/089272 A1	04/25/2002	Photodigm		X
TN		EP 1 225 669 A1	07/24/2002	Avalon Photonics, Ltd.		X
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation description number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 9014. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Umar Ngoye

3/20/06

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OCT 3 1 2005

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Sheet

2 of 2

## Complete if Known

Application Number	10/625,811
Filing Date	7/23/2003
First Named Inventor	Christopher L. Chua
Art Unit	2828
Examiner Name	Tuan N. Nguyen

Attorney Docket Number A3316-US-NP

## Non Patent Literature Documents

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
TN		HUFFAKER, D. L., ET AL.: Fabrication of High-Packing-Density Vertical Cavity Surface-Emitting Laser Arrays Using Selective Oxidation, IEEE Photonics Technology Letters, IEEE, Inc., May 1, 1996, Pages 596-598, Vol. 8., No. 5	<input type="checkbox"/>
TN		DEPPE, D. G., ET AL: Phase-Coupled two-Dimensional ALXGA1-XAS-GAAS Vertical-Cavity Surface-Emitting Laser Array, Applied Physics Letters, American Institute of Physics, May 21, 1990, Pages 2089-2091, Vol. 56, No. 21	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	Tuan Nguyen	Date Considered	3/21/06
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